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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: August 2, 2005 Name: Scott A. Timmerman

Signature: Scott Timmerman

BRINKS
HOFER
GILSON
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: **NEAL BRADY et al.**

Appln. No.: **10/726,851**

Examiner: **TBD**

Filed: **December 2, 2003**

Art Unit: **3624**

For: **NETWORK AND METHOD FOR
PROVIDING OPTION SPREAD
INDICATIVE QUOTES**

Attorney Docket No: **4672/369**

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

☒ Petition Under 37 C.F.R. §1.78(a)(3), check in the amount of \$1,370.00

☒ Return Receipt Postcard

Fee calculation:

☐ No additional fee is required.

☐ Small Entity.

☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).

☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(_____).

☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

Fee payment:

☒ A check in the amount of \$ 1370 is enclosed.

☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.

☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).

☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

Scott Timmerman

Scott A. Timmerman (Reg. No. 55,678)

August 2, 2005

Date

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Commissioner for Patents
P.O. Box 1450, Alexandria, VA 22313-1450

August 2, 2005

Date of Deposit

Scott A. Timmerman

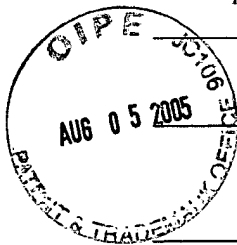
Name of applicant, assignee or
Registered Representative

Scott A. Timmerman

Signature

8-2-05

Date of Signature



Our Case No. 4672-369

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Neal Brady, et al.

Serial No. 10/726,851

Filing Date: Dec. 2, 2003

For NETWORK AND METHOD FOR
PROVIDING OPTION SPREAD
INDICATIVE QUOTES

Examiner: TBD

Group Art Unit No. 3624

PETITION UNDER 37 C.F.R. § 1.78(a)(3)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. 1.78, Applicant respectfully petitions for acceptance of an unintentionally delayed claim for priority under 35 U.S.C. § 120 for the benefit of a prior filed non-provisional application.

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REMARKS

Applicant requests that the application be amended as shown below to add a reference to a prior filed non-provisional application number 10/685,907, filed Oct. 15, 2003. In accordance with 37 C.F.R. § 1.78(a)(3), Applicant states as follows:

(1) Applicant requests that the Application be amended in the following manner to add a reference to the prior-filed non-provisional application number 10/685,907:

Please add the following new paragraph on page 1 of the Application, after the title and before the heading "FIELD OF THE INVENTION":

--This application claims priority, as a continuation-in-part, to the non-provisional application serial number 10/685,907, filed October 15, 2003, entitled "NETWORK AND METHOD FOR TRADING DERIVATIVES BY PROVIDING ENHANCED RFQ VISIBILITY."--

(2) The entire delay between the date the claim for priority was due under 37 C.F.R. § 1.78(a)(2)(ii) and the date the claim is filed herein is unintentional.

(3) The reference required by 35 U.S.C. 120 and 37 C.F.R. § 1.78(a)(3) is provided herein. This reference has not been previously presented in the Application itself. The substitute Declaration signed by inventors Neal Brady, Tom Paronis, Christopher S. Whittington, Paul A. Schmid, and Jon Dahl and filed on January 8, 2004 failed to include a claim to priority of non-provisional application number 10/685,907.

(4) Attached is a check for \$1370.00 for the Petition fee under 37 C.F.R. § 1.17(t). Please charge any additional fee required or credit for any excess fee paid to Deposit Account No. 23-1925. A duplicate copy of this Petition is attached.

App. No. 10/726,851

Petition dated: August 2, 2005

Grant of this petition and addition of the reference to the prior filed non-provisional application is respectfully requested.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Scott Timmerman", is written over a horizontal line.

Scott A. Timmerman
Registration No. 55,678
Attorney for Applicant

August 2, 2005

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